

FORMALITIES LETTER



OC000000005303994

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/599,087	06/21/2000	Roland Luethy	00,450

McDonnell Boehnen Hulbert & Berghoff
300 South Wacker Drive
Chicago, IL 60606

Date Mailed: 08/08/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(Case No. MBHB00-450)

In the Application of:

Polverino, et al.

Serial No. 09/599,087

Filed: June 21, 2000

For: Secreted Epithelial Colon Stromal-1 Molecules
and Uses Thereof

Before the Examiner:

Group Art Unit: 1646

TRANSMITTAL LETTER

Box MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In regard to the above-identified application:

1. We are transmitting herewith the attached
 - a. Response to Notice to File Missing Parts of Application
 - b. Executed copy of Oath or Declaration
 - c. Request for Corrected Filing Receipt
 - d. Return Receipt Postcard
2. With respect to additional fees:
 - a. An additional fee of \$130.00 is required.
3. A check in the amount of \$130.00 is enclosed.
4. Please charge any deficiency in the amount due, or credit any overpayment, to Deposit Account No. 13-2490. A duplicate copy of this sheet is enclosed.
5. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Asst. Commissioner for Patents, Washington, D.C. 20231 on 05 October 2000.

By:

Kevin E. Noonan, Ph.D.
Reg No. 35,303



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

(Case No. MBHB00-450)

In the Application of:)	
)	
Polverino, et al.)	Before the Examiner:
)	
Serial No. 09/599,087)	
)	
Filed: June 21, 2000)	Group Art Unit: 1646
)	
For: Secreted Epithelial Colon Stromal-1 Molecules)	
and Uses Thereof)	

RESPONSE TO NOTICE TO FILE MISSING PARTS
MAILED AUGUST 8, 2000

Box MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Responsive to the Notice to File Missing Parts, mailed August 8, 2000, Applicants submit herewith an executed copy of their Declaration, pursuant to 37 C.F.R. §§1.63 and 1.68.

A check in the amount of \$130.00 accompanies this Response.

Respectfully submitted,
McDonnell Boehnen Hulbert & Berghoff

Date: October 5, 2000

By:

Kevin E. Noonan, Ph.D.
Reg. No. 35,303



PATENT
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(Case No. 00,450)

In the Application of:

Polverino, et al.

Serial No.: 09/599,087

Filing Date: June 21, 2000

For: Secreted Epithelial Colon Stromal-1
Molecules and Uses Thereof

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) Group Art Unit: 1646
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REQUEST FOR CORRECTED FILING RECEIPT

Asst. Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

1. Attached is a copy of the official filing receipt received from the PTO in the above-referenced application for which issuance of a corrected filing receipt is respectfully requested.
2. There is an error with respect to the following data, which is incorrectly entered.

A) Error In:

Applicant(s): Roland Luethy, Camarillo, CA;

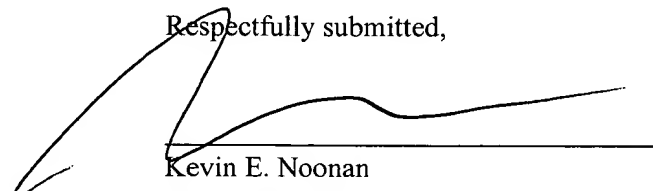
Correct Data:

Applicant(s): **Anthony J. Polverino, Thousand Oaks, CA;**
Roland Luethy, Camarillo, CA;

3. The correction is not due to any error by applicant and no fee is due.

Respectfully submitted,

Date: October 5, 2000



Kevin E. Noonan
Registration No. 35,303

FILING RECEIPT



OC000000005303993

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND
COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/599,087	06/21/2000	1646	3518	00,450	9	59	11

McDonnell Boehnen Hulbert & Berghoff
300 South Wacker Drive
Chicago, IL 60606

Date Mailed: 08/08/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s) *Anthony J. Polverino, Thousand Oaks, CA;*
Roland Luethy, Camarillo, CA;

Continuing Data as Claimed by Applicant

Foreign Applications

If Required, Foreign Filing License Granted 08/07/2000

Title

Secreted epithelial colon stromal-1 molecules and uses thereof

Preliminary Class

530

Data entry by : THOMAS, SHEILA

Team : OIPE

Date: 08/08/2000

DOCKETED

AUG 14 2000

DUE DATE:

BY:

9/21/00 - JDS
CP
6/21/01 - Foreign Filing

